

FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>062331-5002</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)</b>			<b>10/540539</b>
INTERNATIONAL APPLICATION NO. <b>PCT/CN2003/001109</b>	INTERNATIONAL FILING DATE <b>December 24, 2003</b>	PRIORITY DATE CLAIMED <b>December 24, 2003</b>	
TITLE OF INVENTION <b>HUMAN CANCER-RELATING GENES, THE PRODUCTS ENCODED THEREBY AND APPLICATIONS THEREOF</b>			
APPLICANT(S) FOR DO/EO/US <b>Rouli ZHOU; Genze SHAO; Xinrong LIU; Qingyun ZHANG; Jingan RUI; Ye ZHANG; Yueying JIN; Ming LIN; Sha ZHANG</b>			
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 30 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the international Bureau), the entirety of which is incorporated by reference including all Figures and Sequence Listings.</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
<b>Items 11. to 20. below concern document(s) or information included:</b>			
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input checked="" type="checkbox"/> A computer readable form of the sequence listing in accordance with PCT Rule, Statement Accompanying Sequence Listing.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:  <div style="margin-left: 20px;">Copy of International Search Report Formal Drawings (6 Sheets, 30 Figures)</div> </li> </ol>			

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21. ☒ The following fees are submitted:  
Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):

NATIONAL STAGE BASIC FEE AMOUNT = \$300.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date

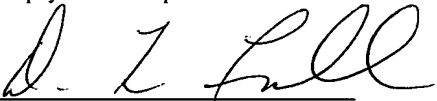
(37 C.F.R. § 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	28 - 20 =	8	X \$50.00	\$ 400.00
Independent Claims	3 - 3 =	0	X \$200.00	\$ 0.00
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$ 360.00
Application Size Fee - \$250.00 for each additional 50 sheets that exceeds 100				\$ 0.00
Search Fee			\$500.00	500.00
Examination Fee			\$200.00	200.00
TOTAL OF ABOVE CALCULATIONS				\$ 1,760.00
Reduction by ½ for filing by small entity, if applicable.				
Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$0.00
SUBTOTAL =				\$ 1,760.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$ 0.00
TOTAL NATIONAL FEE =				\$ 1,760.00
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$ 0.00
TOTAL FEES ENCLOSED =				\$ 1,760.00
Amount to be refunded				\$ 0.00
Amount to be charged				\$ 1,760.00

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0310 in the amount of \$1,760.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Date: June 24, 2005

Customer No. 09629  
SEND ALL CORRESPONDENCE TO:  
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Telephone: (202) 739-3000  
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Dean L. Fanelli  
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